

Export Documentary Collection Booking Islamic User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Export Documentary Collection Booking Islamic User guide  
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# 1. Preface

## 1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export Documentary Collection Booking - Islamic process in Oracle Banking Trade Finance Process Management.

## 1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## 1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

## 1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## 1.7 Conventions

The following text conventions are used in this document:

| Convention      | Meaning                                                                                                                                |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------------|
| <b>boldface</b> | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.                         |
| <i>italic</i>   | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.                          |
| monospace       | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

## 1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## 1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

| Icons                                                                               | Function    |
|-------------------------------------------------------------------------------------|-------------|
|  | Exit        |
|  | Add row     |
|  | Delete row  |
|  | Option List |

---

## 2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### 2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### 2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### 2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

---

## 3. Export Documentary Collection Booking - Islamic

Under Export Documentary Collection, the Remitting Bank will receive the Export Documents from the Exporter and forward the same to the Collecting Bank for Acceptance/Payment by the Drawee.

The various stages involved for Islamic Export Documentary Collection Booking are:

- Receive and verify documents
- Input Export Collection details
- Upload of related mandatory and non-mandatory documents.
- Input Additional details of Export Collection - Data Enrichment stage
- Check for limit availability if applicable.
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Export Collection Booking process flow is similar to that of conventional Export Collection Booking process flow.

This section contains the following topics:

|                                             |                                     |
|---------------------------------------------|-------------------------------------|
| <a href="#">3.1 Common Initiation Stage</a> | <a href="#">3.2 Registration</a>    |
| <a href="#">3.3 Data Enrichment</a>         | <a href="#">3.4 Exceptions</a>      |
| <a href="#">3.5 Multi Level Approval</a>    | <a href="#">3.6 Reject Approval</a> |

### 3.1 Common Initiation Stage

The user can initiate the new Export Documentary Collection request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

## 2. Click Trade Finance > Initiate Task.

The screenshot shows the Oracle Trade Finance 'Initiate Task' registration form. On the left is a dark sidebar menu with various options, including 'Initiate Task' which is highlighted. The main content area is titled 'Registration'. It contains two dropdown menus: 'Process Name' with the selected value 'Islamic Export Documentary Coll...' and 'Branch \*' with the selected value 'PK2-Oracle Banking Trade Finan...'. At the bottom right of the form are two buttons: 'Proceed' and 'Clear'. The top of the page features the Oracle logo, the title 'Initiate Task', and user information including '( DEFAULTTIVITY)', 'Oracle Banking Trade Finan...', 'May 2, 2021', a notification bell, and the user 'ZARTI' with email 'sulham@gmail'.

Provide the details based on the description in the following table:

| Field        | Description                                   |
|--------------|-----------------------------------------------|
| Process Name | Select the process name to initiate the task. |
| Branch       | Select the branch.                            |

### 3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

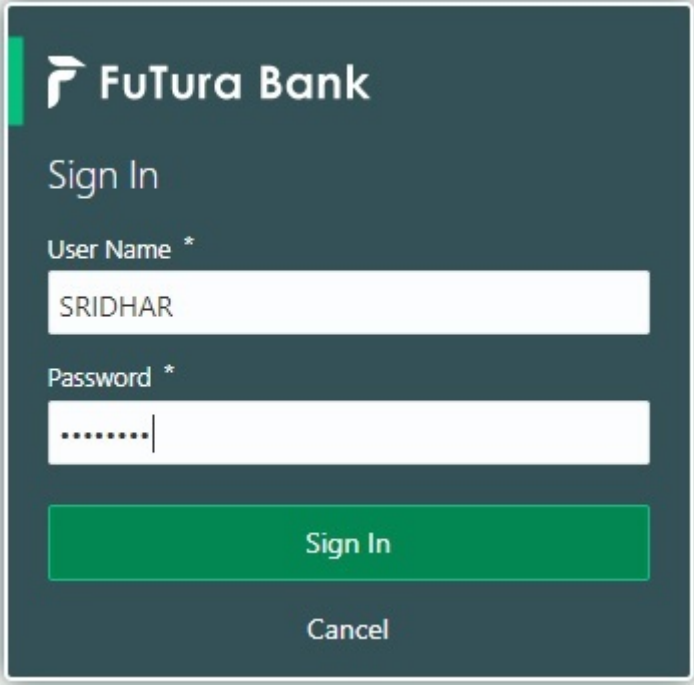
| Field   | Description                                                        |
|---------|--------------------------------------------------------------------|
| Proceed | Task will get initiated to next logical stage.                     |
| Clear   | The user can clear the contents update and can input values again. |

## 3.2 Registration

The process starts from Registration stage, user can register request for an Islamic Export Collection Booking at the front desk.

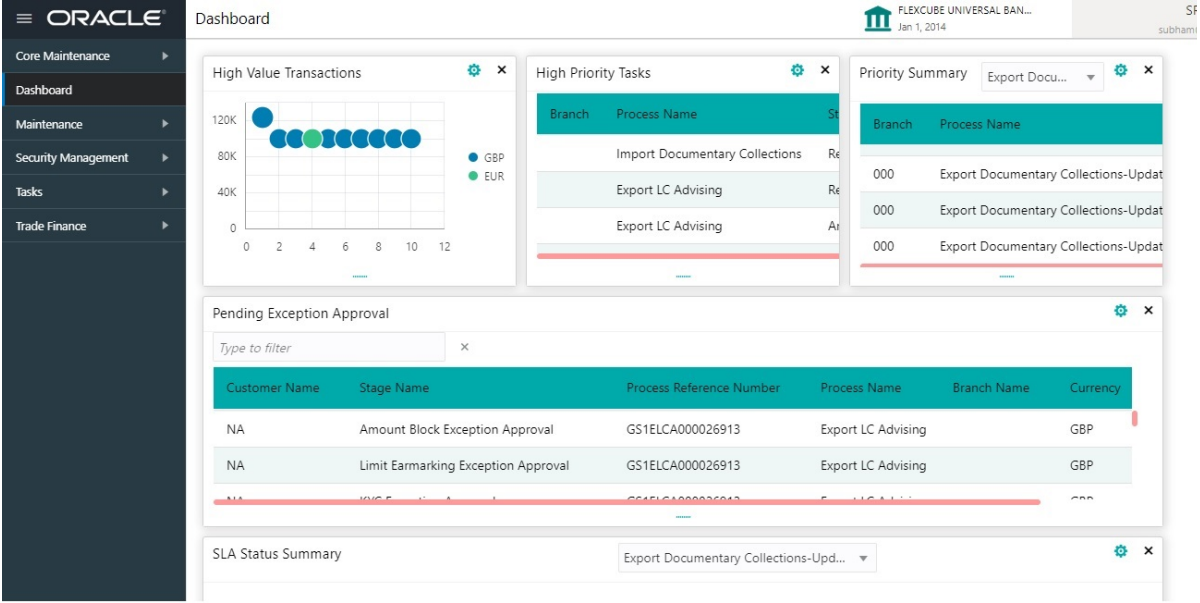
During Registration stage, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows a 'FuTura Bank' login interface. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the text 'SRIDHAR' and 'Password \*' with masked characters. A green 'Sign In' button and a 'Cancel' button are at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.



The image shows an Oracle dashboard interface. The left sidebar contains navigation links: Core Maintenance, Dashboard (selected), Maintenance, Security Management, Tasks, and Trade Finance. The main content area displays several widgets:

- High Value Transactions:** A scatter plot showing transactions for GBP (blue) and EUR (green) over a period of 12 units. The y-axis ranges from 0 to 120K.
- High Priority Tasks:** A table listing tasks with columns for Branch, Process Name, and Status. Tasks include 'Import Documentary Collections', 'Export LC Advising', and 'Export LC Advising'.
- Priority Summary:** A table listing tasks with columns for Branch, Process Name, and Status. Tasks include 'Export Documentary Collections-Update'.
- Pending Exception Approval:** A table listing pending approvals with columns for Customer Name, Stage Name, Process Reference Number, Process Name, Branch Name, and Currency. The table shows two entries for 'Amount Block Exception Approval' and 'Limit Earmarking Exception Approval'.
- SLA Status Summary:** A table listing SLA status with columns for Branch, Process Name, and Status. The table shows one entry for 'Export Documentary Collections-Update'.

3. Click **Trade Finance - Islamic > Export - Documentary Collection> Export Documentary Collection Booking - Islamic.**

The screenshot shows the Oracle dashboard interface. On the left is a dark navigation menu with the Oracle logo at the top. The menu items include: Core Maintenance, Dashboard, Machine Learning, Security Management, Task Management, Tasks, Trade Finance, Trade Finance - Islamic (expanded), Bank Guarantee Advise, Bank Guarantee Issuance, Export - Documentary Collection (expanded), and Export Documentary Collection Booking - Islamic (highlighted). The main dashboard area has a header with 'Dashboard' and a user profile icon labeled '(DEFAULTENTI)'. Below the header, there are several widgets: 'Priority Summary' with a table showing columns for Branch, Process Name, Stage Name, and No of High Priority I; '% Oversight Corrections' with a bar chart; 'Model Inference Time' with a line chart; 'Model Tag Performance' with a bar chart; 'Pending Exception Approval (0)' with a bar chart; and 'Draft Confirmation Pending' with a table showing columns for Process Reference Number. All widgets display 'No data to display'.

The registration stage has two sections Application Details and Collection Details. Let's look at the details of registration screens below:

### 3.2.1 Application Details

Provide the Basic Details based on the description in the following table:

| Field                     | Description                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values               |
|---------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| Drawer                    | <p>Provide the Drawer ID.</p> <p>Alternatively, user can search the Drawing reference number using LOV.</p> <p>In the LOV, user can search giving any combination details of party ID and the Party Name to fetch the drawing details. Based on the search result, select the applicable Drawer.</p> <p><b>Note</b></p> <p>If the selected Drawer ID/party is blacklisted the system displays a warning message.</p> |                             |
| Branch                    | <p>Read only field.</p> <p>Branch details will be auto-populated based on the selected Drawer ID from the LOV.</p>                                                                                                                                                                                                                                                                                                   | 203-Bank Futura -Branch FZ1 |
| Bill Amount               | Select the Bill currency and provide the Bill Amount.                                                                                                                                                                                                                                                                                                                                                                |                             |
| Amount In Local Currency  | System fetches the local currency equivalent value for the LC amount from back office (with decimal places).                                                                                                                                                                                                                                                                                                         |                             |
| Process Reference Number  | <p>Unique OBTFPM task reference number for the transaction.</p> <p>This is auto generated by the system based on process name and branch code.</p>                                                                                                                                                                                                                                                                   |                             |
| Customer Reference Number | Provide the customer reference number if available.                                                                                                                                                                                                                                                                                                                                                                  |                             |

| Field           | Description                                                                                                                                                                                                                                            | Sample Values |
|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Priority        | System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit. | High          |
| Submission Mode | Select the submission mode of Export Documentary Collection Booking request. By default the submission mode will have the value as 'Desk'.<br><br><b>Desk-</b> Request received through Desk<br><b>Courier-</b> Request received through Courier       | Desk          |
| Booking Date    | By default, the application will display branch's current date.                                                                                                                                                                                        | 04/13/2018    |

### 3.2.2 Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

| Field               | Description                                                                                                                                                                                                                                                                      | Sample Values |
|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents Received  | Select the number of sets of documents received: <ul style="list-style-type: none"> <li>• First</li> <li>• Second</li> <li>• Both</li> </ul>                                                                                                                                     |               |
| Tenor Type          | Provide the tenor details based on the document received.                                                                                                                                                                                                                        |               |
| Product Code        | Select the product code for the collection booking.                                                                                                                                                                                                                              |               |
| Product Description | Read only field.<br>This field displays the description of the product as per the product code.                                                                                                                                                                                  |               |
| Operation Type      | Read only field.<br>Operation type will be defaulted based on the product code.<br>User can book a documentary collection using the <b>Operation Type</b> as <b>FOP</b> , to indicate if the documents are to be delivered Free of Payment to the Drawee by the Collecting Bank. |               |

| Field                       | Description                                                                                                                                                                                                                                                                                                                                                                       | Sample Values |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Stage                       | <p>Select the stage from the following options:</p> <ul style="list-style-type: none"> <li>• Initial</li> <li>• Final</li> </ul> <p>By default, stage is set to 'Initial'.</p> <p>Final to initial.</p> <hr/> <p><b>Note</b></p> <p>For <b>Operation Type, FOP</b> the Stage to be defaulted to <b>Final</b> as Free of Payment is not applicable for Bills in Initial stage.</p> |               |
| Contract Reference Number   | System to populate contract reference number from the back end system once the product code is selected.                                                                                                                                                                                                                                                                          |               |
| Drawee                      | <p>Select the Drawee from LOV.</p> <hr/> <p><b>Note</b></p> <p>If the selected drawee/ party is blacklisted the system displays a warning message.</p> <hr/> <p><b>Note</b></p> <p>If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."</p>                                               |               |
| Collecting Bank             | <p>Select the collecting bank from LOV.</p> <hr/> <p><b>Note</b></p> <p>This field is not applicable if the documents are to be received directly by Drawee.</p>                                                                                                                                                                                                                  |               |
| Finance Amount              | Provide the amount that requires finance.                                                                                                                                                                                                                                                                                                                                         |               |
| Limit verification required | <p>Toggle On- Enables for limit verification.</p> <p>If the toggle is disabled and <b>Finance amount</b> has been provided, the system prompts an override message on Submit.</p>                                                                                                                                                                                                 |               |

| Field                               | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Sample Values |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer Dispatch                   | <p>The value will be populated from back office based on the maintenance.</p> <p><b>Toggle On:</b> If the toggle is set to <b>Yes</b>, the customer has the option to dispatch the documents directly to the importer's bank.</p> <p><b>Toggle Off:</b> If the toggle is set to <b>No</b>, the bank has to dispatch the documents to the importer's bank.</p> <p>Bank user is not allowed to edit the field, if the value defaulted from the back office is "No",</p>                                                                                                                                     |               |
| Avalization/Co-Acceptance Requested | <p>Option for the user to select if the bill is to be avalized.</p> <p>User can enable this option either during the Bill Booking or Amendment. During bill booking, the option can be enabled both INTIAL/FINAL stage and <b>Operation</b> is COL/ACC /DIS</p> <p>During the Amendment, stage should be Final.</p> <p>By default this option is disabled.</p> <p>Once the option is enabled, it can not be unchecked during any further actions or operations.</p> <hr/> <p style="text-align: center;"><b>Note</b></p> <p>Option is not applicable for Sight bills, Multi-tenor and Bills Under LC.</p> |               |

### 3.2.3 Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Sample Values |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents             | Upload the documents received under the Documentary Collection.                                                                                                                                                                                                                                                                                                                                                                                                                                                 |               |
| Remarks               | Provide any additional information regarding the collection. This information can be viewed by other users handling the request.                                                                                                                                                                                                                                                                                                                                                                                |               |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                                             |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |               |

| Field                 | Description                                                                                                                                                                                                                         | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Checklist             | Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.                                                                   |               |
| <b>Action Buttons</b> |                                                                                                                                                                                                                                     |               |
| Submit                | On submit, task will move to next logical stage of Export Documentary Collection Booking.<br><br>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.     |               |
| Save & Close          | Save the information provided and holds the task in 'My Task' queue for working later.<br><br>This option will not submit the request.                                                                                              |               |
| Cancel                | Cancels the Export Documentary Collection Booking Task. Details entered will not be saved and the task will be removed.                                                                                                             |               |
| Hold                  | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.<br><br>This option is used, if there are any pending information yet to be received from applicant. |               |

### 3.2.4 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

#### **Pre- Conditions:**

- Customer Maintenance details are replicated from OBTF to OBTFPM.
  - Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
1. Customer Maintenance details are replicated from OBTF to OBTFPM.
  2. In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online".
  3. In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
  4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted,

the Request Clarification functionality would be applicable to offline initiated transactions also.

### 3.3 Data Enrichment

On successful completion of Registration of an Islamic Export Documentary Collection Booking, the task moves to Data Enrichment stage.

At this stage the user will enter/update basic details of the Islamic Export Collection Booking request, check documents and can verify if the request can be progressed further.

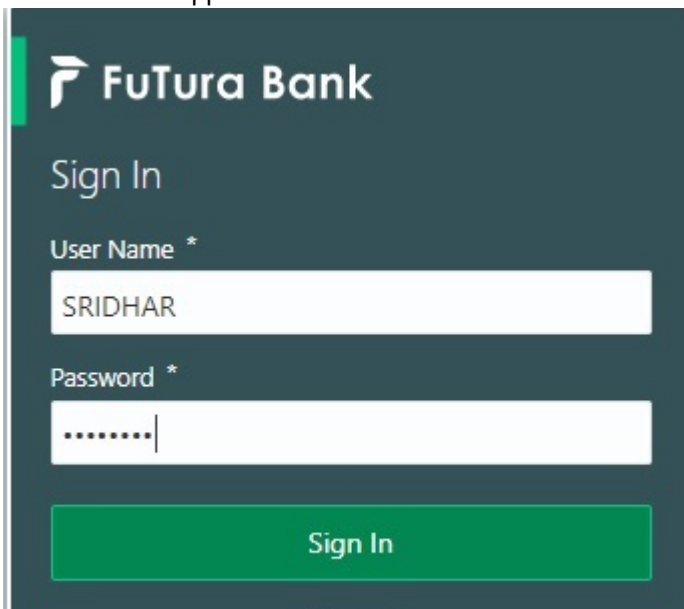
---

#### **Note**

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

SRIDHAR

Password \*

.....

Sign In

- On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.

The screenshot displays the Oracle dashboard interface. On the left is a navigation menu with options: Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, and Trade Finance. The main area contains several widgets:

- High Value Transactions:** A scatter plot showing transactions for GBP (blue) and EUR (green) over a period of 12 units. The y-axis ranges from 0 to 120K.
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- Priority Summary:** A table showing task priorities with columns: Branch, Process Name, and Status. It lists 'Export Documentary Collections-Update' for three different branches.
- Pending Exception Approval:** A table with a search filter and columns: Customer Name, Stage Name, Process Reference Number, Process Name, Branch Name, and Currency. It shows two entries for 'Amount Block Exception Approval' and 'Limit Earmarking Exception Approval'.
- SLA Status Summary:** A table with a search filter and columns: Customer Name, Stage Name, Process Reference Number, Process Name, Branch Name, and Currency.

- Click **Trade Finance> Tasks> Free Tasks**.

The screenshot shows the 'Free Tasks' page in the Oracle system. The left navigation menu is expanded to 'Tasks' > 'Free Tasks'. The main area displays a table of tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, Customer Number, and Amount.

| Action         | Priority | Process Name                           | Process Reference Number | Application Number | Stage                | Application Date | Branch | Customer Number | Amount |
|----------------|----------|----------------------------------------|--------------------------|--------------------|----------------------|------------------|--------|-----------------|--------|
| Acquire & E... | Medium   | Islamic Export Documentary Collecti... | PK2IEDB000071200         | PK2IEDB000071200   | DataEnrichment       | 22-03-09         | PK2    | 004812          |        |
| Acquire & E... | Medium   | Islamic Export Documentary Collecti... | PK1IEDB000071198         | PK1IEDB000071198   | DataEnrichment       | 22-03-09         | PK2    | 000325          |        |
| Acquire & E... | Medium   | ExportLC Amendment BeneficiaryCo...    | PK2IEAM000071197         | PK2IEAM000071197   | DataEnrichment       | 22-03-09         | PK2    | 001204          |        |
| Acquire & E... | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071194         | PK2GTAC000071194   | Registration         | 22-03-09         | PK2    | 001044          |        |
| Acquire & E... | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071193         | PK2GTAC000071193   | DataEnrichment       | 22-03-09         | PK2    | 001044          |        |
| Acquire & E... | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071191         | PK2GTAC000071191   | DataEnrichment       | 22-03-09         | PK2    | 001044          |        |
| Acquire & E... | High     | Shipping Guarantee Issuance            | PK2SGTI000071127         | PK2SGTI000071127   | KYC Exceptional a... | 22-03-07         | PK2    | 001044          |        |
| Acquire & E... | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071185         | PK2GTAC000071185   | Registration         | 22-03-09         | PK2    | 001044          |        |
| Acquire & E... | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071184         | PK2GTAC000071184   | Registration         | 22-03-09         | PK2    | 001044          |        |
| Acquire & E... | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071183         | PK2GTAC000071183   | Registration         | 22-03-09         | PK2    | 001044          |        |
| Acquire & E... | ---      | Shipping Guarantee Issuance            | PK2SGTI000071181         | PK2SGTI000071181   | Registration         | 22-03-09         | PK2    | 001044          |        |
| Acquire & E... | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071175         | PK2GTAC000071175   | Registration         | 22-03-09         | PK2    | 001044          |        |
| Acquire & E... | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071174         | PK2GTAC000071174   | DataEnrichment       | 22-03-09         | PK2    | 001044          |        |

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- Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Refresh Acquire Flow Diagram

| Action                                             | Priority | Process Name                           | Process Reference Number | Application Number | Stage                | Application Date | Branch | Customer Number | Amount |
|----------------------------------------------------|----------|----------------------------------------|--------------------------|--------------------|----------------------|------------------|--------|-----------------|--------|
| <input checked="" type="checkbox"/> Acquire & E... | Medium   | Islamic Export Documentary Collecti... | PK2IEDB000071200         | PK2IEDB000071200   | DataEnrichment       | 22-03-09         | PK2    | 004812          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | Islamic Export Documentary Collecti... | PK1IEDB000071198         | PK1IEDB000071198   | DataEnrichment       | 22-03-09         | PK2    | 000325          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | ExportLC Amendment BeneficiaryCo...    | PK2IEAM000071197         | PK2IEAM000071197   | DataEnrichment       | 22-03-09         | PK2    | 001204          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071194         | PK2GTAC000071194   | Registration         | 22-03-09         | PK2    | 001044          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071193         | PK2GTAC000071193   | DataEnrichment       | 22-03-09         | PK2    | 001044          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071191         | PK2GTAC000071191   | DataEnrichment       | 22-03-09         | PK2    | 001044          |        |
| <input type="checkbox"/> Acquire & E...            | High     | Shipping Guarantee Issuance            | PK2SGTI000071127         | PK2SGTI000071127   | KYC Exceptional a... | 22-03-07         | PK2    | 001044          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071185         | PK2GTAC000071185   | Registration         | 22-03-09         | PK2    | 001044          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071184         | PK2GTAC000071184   | Registration         | 22-03-09         | PK2    | 001044          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071183         | PK2GTAC000071183   | Registration         | 22-03-09         | PK2    | 001044          |        |
| <input type="checkbox"/> Acquire & E...            | --       | Shipping Guarantee Issuance            | PK2SGTI000071181         | PK2SGTI000071181   | Registration         | 22-03-09         | PK2    | 001044          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071175         | PK2GTAC000071175   | Registration         | 22-03-09         | PK2    | 001044          |        |
| <input type="checkbox"/> Acquire & E...            | Medium   | Guarantee Advise Cancellation          | PK2GTAC000071174         | PK2GTAC000071174   | DataEnrichment       | 22-03-09         | PK2    | 001044          |        |

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- The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

My Tasks

Refresh Release Escalate Delegate Flow Diagram

| Action                                   | Priority | Process Name                               | Process Reference Number | Application Number | Stage          | Application Date | Branch |
|------------------------------------------|----------|--------------------------------------------|--------------------------|--------------------|----------------|------------------|--------|
| <input checked="" type="checkbox"/> Edit | Medium   | Islamic Export Documentary Collection B... | PK2IEDB000071200         | PK2IEDB000071200   | DataEnrichment | 22-03-09         | PK2    |
| <input type="checkbox"/> Edit            | --       | Islamic Import Documentary Collection B... | PK2IIDB000071187         | PK2IIDB000071187   | Registration   | 22-03-09         | PK2    |
| <input type="checkbox"/> Edit            | Medium   | Import Documentary Collection Booking      | 000IDCB000071157         | 000IDCB000071157   | Registration   | 22-03-08         | PK2    |
| <input type="checkbox"/> Edit            | Medium   | Export Documentary Collection Booking      | PK1EDCB000071156         | PK1EDCB000071156   | Registration   | 22-03-08         | PK2    |
| <input type="checkbox"/> Edit            | Medium   | Export LC Amendment                        | PK2ELCA000071121         | PK2ELCA000071121   | Scrutiny       | 22-03-07         | PK2    |
| <input type="checkbox"/> Edit            | --       | Export LC Amendment                        | PK2ELCA000071120         | PK2ELCA000071120   | Registration   | 22-03-07         | PK2    |
| <input type="checkbox"/> Edit            | --       | Export LC Amendment                        | PK2ELCA000071103         | PK2ELCA000071103   | Registration   | 22-03-07         | PK2    |
| <input type="checkbox"/> Edit            | --       | Export LC Amendment                        | PK2ELCA000071102         | PK2ELCA000071102   | Registration   | 22-03-07         | PK2    |
| <input type="checkbox"/> Edit            | Medium   | Import LC Closure                          | PK2ILCC000071098         | PK2ILCC000071098   | DataEnrichment | 22-03-05         | PK2    |
| <input type="checkbox"/> Edit            | --       | Import LC Internal Amendment Islamic       | PK2IIIA000071097         | PK2IIIA000071097   | Registration   | 22-03-05         | PK2    |
| <input type="checkbox"/> Edit            | Medium   | Import LC Internal Amendment               | PK2ILCI000071096         | PK2ILCI000071096   | DataEnrichment | 22-03-05         | PK2    |
| <input type="checkbox"/> Edit            | Medium   | Islamic Import LC Drawing Update           | PK2IILU000071081         | PK2IILU000071081   | Scrutiny       | 22-03-05         | PK2    |
| <input type="checkbox"/> Edit            | High     | Import LC Drawing                          | PK2ILCD000071053         | PK2ILCD000071053   | DataEnrichment | 22-03-04         | PK2    |

Page 1 of 1 (1 - 14 of 14 items) K < 1 > X

The data enrichment stage has the following hops for data capture:

- Main Details
- Document Details
- Other Details
- Shipment Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for data enrichment stage. User can enter/update the following fields. Some of the application details that are already having value from Registration channels may not be editable.

### 3.3.1 Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

#### 3.3.1.1 Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) for more information of the fields.

imic Export Documentary Collection Booking  
Enrichment :: Application No:- 091IEDB000080498

Clarification Details Documents Remarks Overrides Customer Instruction Signatures

Screen ( 1 / )

**Main Details**

Document Details

Other Details

Shipment Details

Maturity Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

**Application Details**

Drawer \* 091213 Etisalat

Branch 091-Islamic Trade Branch

Bill Amount \* AED 2,000.00

Amount In Local Currency AED 2,000.00

Process Reference Number 091IEDB000080498

Customer Reference Number

Priority Medium

Submission Mode Desk

Booking Date \* Aug 3, 2023

**Collection Details**

Documents Received First

Tenor Type Sight

Stage FINAL

Product Code \* EIBC

Contract Reference Number 091EIBC232153501

Collecting Bank 091318 AL HILAL BAN

Finance Amount

Limit verification required

Product Description OUTGOING DOCUMENTARY SIGHT BIL

Drawee \*

Customer Dispatch

Avalization/Co-Acceptance Requested

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

#### 3.3.1.2 Collection Details

The fields listed under this section are same as the fields listed under the [3.2.2 Collection Details](#) section in [3.2 Registration](#). Refer to [3.2.2 Collection Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

#### Note

In case field Operation Type has value FOP (Free of Payment) that is populated from OBDX, system should retain the value and should not allow the user to edit the same.(Display only field if Submission mode is Online.

Collection Details

Documents Received First

Operation Type COL

Collecting Bank 091318 AL HILAL BAN

Finance Amount

Limit verification required

Product Code \* EIBC

Contract Reference Number 091EIBC232153501

Product Description OUTGOING DOCUMENTARY SIGHT BIL

Drawee \*

Customer Dispatch

Avalization/Co-Acceptance Requested

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

### 3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Documents             | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks               | <p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>                     |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                                                                  |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Sample Values |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.                                                                                                                                                                                                                                                                           |               |
| Save & Close          | Save the details provided and holds the task in 'My Task' queue for further update.<br>This option will not submit the request.                                                                                                                                                                                                                                                                                                                                       |               |
| Cancel                | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.                                                                                                                                                                                                                                                                                                                              |               |
| Hold                  | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.<br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                                                                                                                       |               |
| Reject                | On click of Reject, user must select a Reject Reason from a list displayed by the system.<br><br>Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.<br><br>This reject reason will be available in the remarks window throughout the process. |               |
| Refer                 | User must select a Refer Reason from the values displayed by the system.<br><br>Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>                                                                                                                                                                 |               |
| Next                  | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                             |               |

### 3.3.2 Document Details

This section enables the user to enter/ update Documents and conditions details for Islamic Export Collection Booking.

Oracle Free Tasks (DEFAULTTIVITY) (PK2) May 6, 2019 SRIDH subham@gmail

ort Documentary Collection Booking - DataEnrichment :: Application No: PK2EDCB000057483

Screen ( 2 /

| Document Code | Document Type | Documents Description   | Document Reference | Copies Received | Originals Received | Document Date | Action                                      |
|---------------|---------------|-------------------------|--------------------|-----------------|--------------------|---------------|---------------------------------------------|
| INVDOC        | V             | <a href="#">Invoice</a> | 12                 | 1               | 1                  | Mar 4, 2019   | <a href="#">Edit</a> <a href="#">Delete</a> |

Reject Refer Hold Cancel Save & Close Back New

Capture the information based on the description in the following table:

| Field                | Description                                                                                                                                                  | Sample Values |
|----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Document Code        | Select the document code from the LOV based on the document received. User can add or delete the code by deleting the line on the grid.                      |               |
| Document Type        | System will populate the document type based on the document code.<br>Click the link to view the document type.                                              |               |
| Document Description | System will populate the document description based on the document code. User can edit the description.<br>Click the link to view the document description. |               |
| Document Reference   | Enables the user to capture the document reference.                                                                                                          |               |
| Copies Received      | Provide the number copies received from the Drawer. User can edit the actual copies received.                                                                |               |
| Originals Received   | Provide the number of original documents received from the Drawer. User can edit the actual originals received.                                              |               |

| Field         | Description                                                                                                                                                                                                                                                                                                                                                                                                                | Sample Values |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Document Date | <p>User can edit the date on which the document is received.</p> <p>On click of 'Next' system should validate the value in this field against the transport document/ Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.</p> |               |
| Action        | <p>Click Edit icon to edit the document code/ document date.</p> <p>Click Delete icon to delete the document code.</p>                                                                                                                                                                                                                                                                                                     |               |

### 3.3.2.1 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Documents             | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks               | <p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                                                 |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Request Clarification | <p>On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p>                                                                                                                                                                                                                                                                                                                  |               |
| Save & Close          | <p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                                                          |               |
| Cancel                | <p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>                                                                                                                                                                                                                                                                                                                                                                     |               |
| Hold                  | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                          |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Refer  | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                                        |               |
| Next   | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>                                                                                                                                                                                                                                                    |               |

### 3.3.3 Other Details

Other Details enables the user to capture details like Credit Value Date, Debit Value Date, Other Bank charges etc. User can validate the Other details for Islamic Export Collection

Booking.

#### 3.3.3.1 Other Details

Provide the other bank charges based on the description in the following table:

| Field             | Description                    | Sample Values |
|-------------------|--------------------------------|---------------|
| Debit Value Date  | Provide the debit value date.  |               |
| Credit Value Date | Provide the credit value date. |               |
| Value Date        | Provide the value date.        |               |

#### 3.3.3.2 Other Bank Charges

Provide the other bank charges based on the description in the following table:

| Field                          | Description                                                                                        | Sample Values |
|--------------------------------|----------------------------------------------------------------------------------------------------|---------------|
| Other Bank Charges - 1         | Charges to be collected for the other bank as part of the collection transaction.                  |               |
| Other Bank Charges - 2         | Charges to be collected for the other bank as part of the collection transaction.                  |               |
| Other Bank Charges - 3         | Charges to be collected for the other bank as part of the collection transaction.                  |               |
| Other Bank Description -1      | The description of charges to be collected for the other bank as part of the drawings transaction. |               |
| Other Bank Description -2 to 3 | The description of charges to be collected for the other bank as part of the drawings transaction. |               |

| Field                     | Description                                                                                     | Sample Values |
|---------------------------|-------------------------------------------------------------------------------------------------|---------------|
| Other Bank Description -3 | Tdescription of charges to be collected for the other bank as part of the drawings transaction. |               |

### 3.3.3.3 Other Bank Profit

The user can enter the Profit details to be captured as a part of “Other Bank Profit” details section.

Provide the other bank Profit based on the description in the following table:

| Field                         | Description                                                                                                                             | Sample Values |
|-------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Start Date                    | Provide the date from which the system starts calculating the Interest.                                                                 |               |
| Other Bank Profit -1, 2 and 3 |                                                                                                                                         |               |
| Component                     | This field displays the name of the interest Component.                                                                                 |               |
| Component Description         | Provide the description of the interest component.                                                                                      |               |
| Profit Rate                   | Provide the rate to be applied for the profit component.                                                                                |               |
| Profit Basis                  | Select the calculation basis on which the profit to be computed.                                                                        |               |
| Waive                         | Select whether the interest to be waived off.<br>The options are: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul> |               |

### 3.3.3.4 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                       | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents             | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks               | <p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>                  |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                                                                  |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.                                                                                                                                                                                                                                                                                                                                          |               |

| Field        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update.<br>This option will not submit the request.                                                                                                                                                                                                                                                                                                                               |               |
| Cancel       | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.                                                                                                                                                                                                                                                                                                                      |               |
| Hold         | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.<br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                                                                                                               |               |
| Reject       | On click of Reject, user must select a Reject Reason from a list displayed by the system.<br>Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.<br>This reject reason will be available in the remarks window throughout the process. |               |
| Refer        | User must select a Refer Reason from the values displayed by the system.<br>Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                             |               |
| Next         | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                     |               |

### 3.3.4 Shipment Details

A Data Enrichment user can enrich the shipment request for Islamic Export Collection Booking Shipment details..

The screenshot displays the Oracle Islamic Export Documentary Collection Booking - Data Enrichment interface. The top navigation bar includes the Oracle logo, user information (ZART, subham@gms), and the date (Aug 3, 2023). The main header shows the application name and a unique identifier (032IEDB000164318). The left sidebar lists various detail sections: Main Details, Document Details, Other Details, Shipment Details (selected), Maturity Details, Additional Fields, Advices, Additional Details, Settlement Details, and Summary. The central area is titled 'Shipment Details' and contains several input fields and sections:

- Transshipment:** A dropdown menu set to 'ALLOWED'.
- Partial Shipments:** A dropdown menu set to 'ALLOWED'.
- Date of Shipment:** A date picker set to 'Aug 3, 2023'.
- Place of Taking in Charge:** A text field with 'London'.
- Port of Loading:** A text field with 'London'.
- Port of Discharge:** A text field with 'Abu Dhabi'.
- Place of Final Delivery:** A text field with 'Abu Dhabi'.
- Carrier Name:** A text field with 'ABC'.
- Shipping Agent Name:** A text field with 'XYZ'.
- Shipping Agent Address:** A text area.
- INCO Terms:** A dropdown menu set to 'DDP'.
- INCO Terms Description:** A text field with 'Delivered Duty Paid (named place of di'.
- 45A Description of Goods and/or Services:** A table with columns: Goods Code, Goods Type, Goods Description, and Action. The table contains one row: UPLD\_GOODS2, G, from good desc.
- Country of Origin:** A text field.
- Insurance Company Code:** A dropdown menu set to 'SHACORP'.
- Insurance Company:** A text field with 'Shahul Insurance Corporation Ltd'.
- Policy Number:** A text field.
- Multi-model/ Transshipment details:** A table with columns: Carrier Name, Port, and Action. The table contains one row: ABC, London.

The bottom of the screen features an 'Audit' button and a row of action buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Provide the Shipment Details based on the description in the following table:

| Field             | Description                                                                                                                                                                                      | Sample Values |
|-------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Transshipment     | Provide the transshipment details of goods (if any) from the document received.<br>The values are: <ul style="list-style-type: none"> <li>Allowed</li> <li>Not Allowed</li> </ul>                |               |
| Partial Shipments | Provide the partial shipment details of goods (if any) from the document received.<br>The values are: <ul style="list-style-type: none"> <li>Allowed</li> <li>Not Allowed</li> </ul>             |               |
| Date Of Shipment  | Provide the date of shipment from the document received. Shipment date can be back dated but not future dated.<br>This is a mandatory field, an error message will be displayed if not provided. |               |

| Field                     | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Place Of Taking In Charge | <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <p>Provide the details of place of taking in charge from the document received.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Port Of Loading</b>.</p> |               |
| Port Of Loading           | <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Provide the details of Port/Airport of Loading from the document received.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Taking In Charge</b>.</p>                                                                                                                                                                                                               |               |
| Port Of Discharge         | <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Provide the details of Port/Airport of Discharge from the document received.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Final Destination</b>.</p>                                                                                                                                                                                                            |               |
| Place Of Final Delivery   | <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p> <p>Provide the details of Place Of Final Destination from the document received.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Port Of Discharge</b>.</p>                                                                                                                                                                                                                         |               |
| Carrier Name              | Provide the name of the carrier through which the goods were shipped.                                                                                                                                                                                                                                                                                                                                                                                                                                               |               |
| Shipping Agent            | Provide the shipping agent name from the document received.                                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |

| Field                  | Description                                                    | Sample Values |
|------------------------|----------------------------------------------------------------|---------------|
| Shipping Agent Address | Provide the shipping agent address from the document received. |               |
| INCO Terms             | Select the INCO terms from the document received.              |               |
| INCO Terms Description | The description of the INCO Term.                              |               |

### 3.3.4.1 Description of Goods and/or Services

Provide the Goods Details based on the description in the following table:

| Field                  | Description                                                                                                                      | Sample Values |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------------|
| + Icon                 | Click + icon to add goods details.                                                                                               |               |
| Goods Code             | Click look up icon to select the goods code. Once you select goods code, value will default in Goods Type and Goods Description. |               |
| Goods Type             | The goods type is auto populated depending on selected goods code.                                                               |               |
| Goods Description      | This field displays the description of goods based on goods code.                                                                |               |
| Edit                   | Click Edit icon to edit the goods description.                                                                                   |               |
| Action                 | Click Edit icon to edit the goods code.<br>Click Delete icon to delete the goods code.                                           |               |
| Country of Origin      | Provide the country of origin details from the documents received.                                                               |               |
| Insurance Company Code | Select the Insurance Company Code from the LOV.                                                                                  |               |
| Insurance Company      | Read only field.<br>Insurance company details will be displayed as per the selected Insurance Company Code from the LOV.         |               |
| Policy Number          | Provide the policy number of the insurance.                                                                                      |               |
|                        | .                                                                                                                                |               |

### 3.3.4.2 Multimodal/Transshipment Details

During shipment, if goods are shipped using multiple transports on its way or any transit ports, the details must be captured in this section.

| Field        | Description                                                                                                        | Sample Values |
|--------------|--------------------------------------------------------------------------------------------------------------------|---------------|
| Carrier Name | Provide the details of the carrier as per the documents received, if the goods were shipped via multiple carriers. |               |
| Ports        | Provide the port details as per the document received, if the ship has transit on its way to the destination.      |               |
| Action       | Click Edit icon to edit the Carrier name and port.<br>Click Delete icon to delete the record.                      |               |

### 3.3.4.3 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.                                                                                                                                                                                                                                                                                                                                                                             |               |
| Documents             | Click the Documents icon to View/Upload the required documents.<br><br>Application will display the mandatory and optional documents.<br><br>The user can view and input/view application details simultaneously.<br><br>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application. |               |
| Remarks               | Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.<br><br>Content from Remarks field should be handed off to Remarks field in Backend application.                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                                                 |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Request Clarification | <p>On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p>                                                                                                                                                                                                                                                                                                                  |               |
| Save & Close          | <p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                                                          |               |
| Cancel                | <p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>                                                                                                                                                                                                                                                                                                                                                                     |               |
| Hold                  | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                          |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Refer  | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                                        |               |
| Next   | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>                                                                                                                                                                                                                                                    |               |

### 3.3.5 Maturity Details

ORACLE

(DEFAULTTENITY)

Oracle Banking Trade Finan...

Aug 3, 2023

Islamic Export Documentary Collection Booking

DataEnrichment :: Application No:- 032IEDB000164318

Clarification Details

Documents

Remarks

Overrides

Customer Instruction

Signatures

Main Details

Document Details

Other Details

Shipment Details

Maturity Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Maturity Details

Draft Code

Draft Amount

Action

Insurance amount

AED 1,000.00

Multi Tenor

| S.No | Tenor Basis | Tenor Description | Start Date  | Tenor Days | Transit Days | Maturity Date | Bill Amount | Liquidated Amount | Exchange Rate | Liquidation Date |
|------|-------------|-------------------|-------------|------------|--------------|---------------|-------------|-------------------|---------------|------------------|
| 1    | CC          |                   | Aug 3, 2023 |            | 10           | Aug 13, 2023  |             |                   |               |                  |

Profit from Date

Aug 3, 2023

Profit To Date

Acceptance Commission From Date

Acceptance Commission To Date

Audit

Request Clarification

Reject

Refer

Hold

Cancel

Save & Close

Back

#### 3.3.5.1 Draft Details

Update the maturity details based on the description in the following table:

| Field        | Description                                                                            | Sample Values |
|--------------|----------------------------------------------------------------------------------------|---------------|
| Draft Code   | Update the draft code.<br>Alternatively, user can search the draft details using LOV.  |               |
| Draft Amount | Update the draft amount.                                                               |               |
| Action       | Click Edit icon to edit the draft code.<br>Click Delete icon to delete the draft code. |               |

#### 3.3.5.2 Maturity and Multi Tenor Liquidation Details

Provide the maturity details based on the description in the following table:

| Field             | Description                                                                                                                    | Sample Values |
|-------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------|
| SNo.              | Serial number of the tenor record.                                                                                             |               |
| Tenor Basis       | Provide the tenor basis.<br>This field is disabled if the Tenor Type is 'Mixed'.                                               |               |
| Tenor Description | The tenor base code description is displayed based on the selected tenor basis.<br>The user to can edit the tenor description. |               |
| Start Date        | Provide the tenor start date.                                                                                                  |               |
| Tenor Days        | Provide the number of tenor days                                                                                               |               |

| Field                       | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Sample Values |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Transit Days                | Provide the transit days, if the tenor is sight.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |               |
| Maturity date               | <p>System displays the due date for the bill based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from Document Received Date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p> <hr/> <p style="text-align: center;"><b>Note</b></p> <p style="text-align: center;">In case if the Operation Type is FOP, Due date (Maturity date) is not applicable in this case and current branch date will be populated in the Maturity date field.</p> |               |
| Bill Amount                 | Provide the bill amount.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |               |
| Liquidated Amount           | Provide the liquidated amount.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |
| Exchange Rate               | Provide the Exchange Rate.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |               |
| Liquidation Date            | Provide the liquidation date.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |
| Liquidation Amount          | Provide the bill amount.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |               |
| Rebate Amount               | Provide the rebate amount.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |               |
| Action                      | <p>Click Edit icon to edit the tenor record.</p> <p>Click Delete icon to delete the tenor record.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Profit from Date            | Select the profit from date. The profit from date cannot be earlier than branch date and later than maturity date.                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |               |
| Profit to Date              | Application defaults Maturity Date in this field, if Profit from Date is provided.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |               |
| Accept Commission From Date | The accept commission from date.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |               |
| Accept Commission To Date   | The accept commission to date.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |

### 3.3.5.3 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Documents             | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks               | <p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>                     |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                                                                  |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Sample Values |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.                                                                                                                                                                                                                                                                           |               |
| Save & Close          | Save the details provided and holds the task in 'My Task' queue for further update.<br>This option will not submit the request.                                                                                                                                                                                                                                                                                                                                       |               |
| Cancel                | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.                                                                                                                                                                                                                                                                                                                              |               |
| Hold                  | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.<br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                                                                                                                       |               |
| Reject                | On click of Reject, user must select a Reject Reason from a list displayed by the system.<br><br>Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.<br><br>This reject reason will be available in the remarks window throughout the process. |               |
| Refer                 | User must select a Refer Reason from the values displayed by the system.<br><br>Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                                 |               |
| Next                  | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                             |               |

### 3.3.6 Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

Islamic Export Documentary Collection Booking  
DataEnrichment :- Application No:- PK2IEDB000071200

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details  
Document Details  
Other Details  
Shipment Details  
Maturity Details  
**Additional Fields**  
Advices  
Additional Details  
Settlement Details  
Summary

Additional Fields

Audit Reject Refer Hold Cancel Save & Close Back

#### 3.3.6.1 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.                                                                                                                                                                                                                                                                                                                                                                             |               |
| Documents             | Click the Documents icon to View/Upload the required documents.<br><br>Application will display the mandatory and optional documents.<br><br>The user can view and input/view application details simultaneously.<br><br>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application. |               |
| Remarks               | Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.<br><br>Content from Remarks field should be handed off to Remarks field in Backend application.                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                                                 |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Request Clarification | <p>On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p>                                                                                                                                                                                                                                                                                                                  |               |
| Save & Close          | <p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                                                          |               |
| Cancel                | <p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>                                                                                                                                                                                                                                                                                                                                                                     |               |
| Hold                  | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                          |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Refer  | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                                        |               |
| Next   | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>                                                                                                                                                                                                                                                    |               |

### 3.3.7 Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

Islamic Export Documentary Collection Booking  
DataEnrichment :: Application No:- PK1IEDB000011053

Documents Remarks Overrides Customer Instruction Common Group Messages

Main Details  
Document Details  
Other Details  
Shipment Details  
Maturity Details  
Additional Fields  
**Advices**  
Additional Details  
Settlement Details  
Summary

Advices

Advice : REMITTANCE\_LTR  
Advice Name : REMITTANCE\_LTR  
Advice Party : DRAWEE  
Party Name : NATIONAL FREIGHT CORP  
Suppress : NO  
Advice

Advice : PAYMENT\_MESS...  
Advice Name : PAYMENT\_MESSAGE  
Advice Party :  
Party Name :  
Suppress : NO  
Advice

Reject Refer Hold Cancel Save & Close Back

#### 3.3.7.1 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.                                                                                                                                                                                                                                                                                                                                                                             |               |
| Documents             | Click the Documents icon to View/Upload the required documents.<br><br>Application will display the mandatory and optional documents.<br><br>The user can view and input/view application details simultaneously.<br><br>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application. |               |
| Remarks               | Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.<br><br>Content from Remarks field should be handed off to Remarks field in Backend application.                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                                                 |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Request Clarification | <p>On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p>                                                                                                                                                                                                                                                                                                                  |               |
| Save & Close          | <p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                                                          |               |
| Cancel                | <p>Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>                                                                                                                                                                                                                                                                                                                                                                     |               |
| Hold                  | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                          |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Refer  | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                                        |               |
| Next   | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>                                                                                                                                                                                                                                                    |               |

### 3.3.8 Additional Details

A Data Enrichment user, can verify and enter the basic additional details available for Islamic Export Collection Booking process.

ORACLE

(DEFAULTTENTITY)

Oracle Banking Trade Finan...  
Aug 3, 2023

ZART  
subham@gms

mic Export Documentary Collection Booking  
iaEnrichment :: Application No:- 032IEDB000164318

Clarification DetailsDocumentsRemarksOverridesCustomer InstructionSignatures

Main Details  
Document Details  
Other Details  
Shipment Details  
Maturity Details  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Additional Details

Limit & Collateral

Charge Details

Preview Message

Payment Details

FX Linkage

Profit Details

Tracer Details

Pre-Shipment Details

Screen ( 8)

editRequest ClarificationRejectReferHoldCancelSave & CloseBackN

#### 3.3.8.1 Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTfPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limit & Collateral

Limit Details

Customer ID

Linkage Type

Liability Number

Line Id/Linkage Ref No

Line Serial

Contribution %

Contribution Currency

Amount to Earmark

Limit Check Response

Response Message

Edit

100172

Facility

100

AED

1000

11

ash Collateral Details

Sequence Number

Settlement Account Currency

Settlement Account

Exchange Rate

Collateral %

Contribution Amount

Contribution Amount in Account Currency

Account Balance Check Respor

No data to display.

Deposit Linkage Details

☐

Deposit Account

Deposit Currency

Deposit Maturity Date

Transaction Currency

Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency)

Edit

Delete

☐

PK2CDP1221100002

GBP

2023-04-20

GBP

87508

£495.00

PK2CDP1221100002

Page 1 (0 of 0 items) < 1 >

Save & CloseClose

Limit Details

Customer Id

032412

Contribution % \*

100.0

Contribution Currency

AED

Limit/Liability Currency

AED

Limit Check Response

Expiry Date

Response Message

Linkage Type \*

Facility

Liability Number \*

032412

Line Id/Linkage Ref No \*

0324121

Limits Description

Amount to Earmark \*

AED 100.00

Limit Available Amount

ELCM Reference Number

Verify

Save & Close

Close

| Field                            | Description                               | Sample Values |
|----------------------------------|-------------------------------------------|---------------|
| <div>Plus Icon</div> <div></div> | Click plus icon to add new Limit Details. |               |

#### Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

|              |                                                                                                                                                                              |  |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Customer ID  | Applicant's/Applicant Bank customer ID will get defaulted.                                                                                                                   |  |
| Linkage Type | Select the linkage type.<br>Linkage type can be: <ul style="list-style-type: none"> <li>Facility</li> <li>Liability</li> </ul> By default Linkage Type should be "Facility". |  |

| Field                     | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Sample Values |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Contribution%             | <p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Liability Number          | <p>Click <b>Search</b> to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Contribution Currency     | The LC currency will be defaulted in this field.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Line ID/Linkage Ref No    | <p>Click <b>Search</b> to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <hr/> <p style="text-align: center;"><b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <p>This field is disabled and read only, if <b>Linkage Type</b> is <b>Liability</b>.</p> |               |
| Limit/ Liability Currency | Limit Currency will be defaulted in this field, when you select the <b>Liability Number</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Limits Description        | This field displays the limits description.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Limit Check Response      | Response can be 'Success' or 'Limit not Available' based on the limit service call response.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |
| Amount to Earmark         | <p>Amount to earmark will default based on the contribution %.</p> <p>User can change the value.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Expiry Date               | This field displays the date up to which the Line is valid                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |               |

| Field                  | Description                                                                                                                                                                                                                                       | Sample Values |
|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Limit Available Amount | This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.<br><br>The value in this field appears, if you click the Verify button. |               |
| Response Message       | Detailed Response message.<br><br>The value in this field appears, if you click the Verify button.                                                                                                                                                |               |
| ELCM Reference Number  | This field displays the ELCM reference number.                                                                                                                                                                                                    |               |

Below fields appear in the Limit Details grid along with the above fields.

|             |                                                                                                                                    |  |
|-------------|------------------------------------------------------------------------------------------------------------------------------------|--|
| Line Serial | Displays the serial of the various lines available and mapped under the customer id.<br><br>This field appears on the Limits grid. |  |
| Edit        | Click the link to edit the Limit Details                                                                                           |  |
| Delete icon | Click delete icon to delete the existing limit details.                                                                            |  |

### Collateral Details

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount \*

Sequence Number

1.0

Collateral Contribution Amount \*

NaN

Settlement Account Currency

AED

Contribution Amount in Account Currency

Response

VN

Verify

Collateral Amount to be Collected \*

Collateral Split % \*

34.0

Settlement Account \*

0912160013

Exchange Rate

Account Available Amount

Response Message

✓ Save & Close

✕ Cancel

| Field | Description | Sample Values |
|-------|-------------|---------------|
|       |             |               |
|       |             |               |
|       |             |               |

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

|                                         |                                                                                                                                                                                                                                                              |  |
|-----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Total Collateral Amount                 | Read only field.<br>This field displays the total collateral amount provided by the user.                                                                                                                                                                    |  |
| Collateral Amount to be Collected       | Read only field.<br>This field displays the collateral amount yet to be collected as part of the collateral split.                                                                                                                                           |  |
| Sequence Number                         | Read only field.<br>The sequence number is auto populated with the value, generated by the system.                                                                                                                                                           |  |
| Collateral Split %                      | Specify the collateral split% to be collected against the selected settlement account.                                                                                                                                                                       |  |
| Collateral Contribution Amount          | Specify the collateral amount to be collected against the selected settlement account.<br>User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %. |  |
| Settlement Account                      | Select the settlement account for the collateral amount.                                                                                                                                                                                                     |  |
| Settlement Account Currency             | Read only field.<br>This field displays the settlement account currency defaulted by the system.                                                                                                                                                             |  |
| Exchange Rate                           | Read only field.<br>This field displays the exchange rate, if the settlement account currency is different from the collateral currency.                                                                                                                     |  |
| Contribution Amount in Account Currency | Read only field.<br>This field displays the contribution amount in the settlement account currency as defaulted by the system.                                                                                                                               |  |

| Field                    | Description                                                                                             | Sample Values |
|--------------------------|---------------------------------------------------------------------------------------------------------|---------------|
| Account Available Amount | Read only field.<br>System populates the account available amount on clicking the <b>Verify</b> button. |               |
| Response                 | Read only field.<br>System populates the response on clicking the <b>Verify</b> button.                 |               |
| Response Message         | Read only field.<br>System populates the response message on clicking the <b>Verify</b> button.         |               |
| Verify                   | Click to verify the account balance of the Settlement Account.                                          |               |
| Save & Close             | Click to save and close the record.                                                                     |               |
| Cancel                   | Click to cancel the entry.                                                                              |               |

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

|                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |
|----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Collateral %                                                                                       | <p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p> |  |
| Contribution Amount                                                                                | <p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>                                                                                                         |  |
| Account Balance Check Response                                                                     | <p>Read only field.</p> <p>System populates the Account Balance Check Response on clicking the Verify button.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |
| Delete Icon<br> | Click minus icon to remove any existing Collateral Details.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |

| Field     | Description                                              | Sample Values |
|-----------|----------------------------------------------------------|---------------|
| Edit Link | Click edit link to edit any existing Collateral Details. |               |

### Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details

|                                           |                    |                          |                     |
|-------------------------------------------|--------------------|--------------------------|---------------------|
| Customer Id                               | 032204             | Deposit Account          | 032CD01230310001    |
| Deposit Branch                            | 032                | Deposit Available Amount | AED ▼ AED 31,323.00 |
| Deposit Maturity Date                     | Apr 2, 2023        | Exchange Rate            | 1                   |
| Deposit Available In Transaction Currency | AED ▼ 31,323.00    | Linkage Percentage % *   | 45.0 ▼ ▲            |
| Linkage Amount(Transaction Currency) *    | AED ▼ AED 4,500.00 |                          |                     |

| Field                                         | Description                                                                                                                                                                                                | Sample Values |
|-----------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Click + plus icon to add new deposit details. |                                                                                                                                                                                                            |               |
| Customer Id                                   | Customer ID is defaulted from the system.<br>User can change the customer ID.                                                                                                                              |               |
| Deposit Account                               | Click <b>Search</b> to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage. |               |
| Deposit Branch                                | Branch will be auto populated based on the Deposit account selection.                                                                                                                                      |               |

| Field                                     | Description                                                                                                                                                                                                | Sample Values |
|-------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Deposit Available Amount                  | Amount will be auto-populated based on the Deposit Account selection.                                                                                                                                      |               |
| Deposit Maturity Date                     | Maturity Date of deposit is displayed based on the Deposit Account selection.                                                                                                                              |               |
| Exchange Rate                             | Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.                                                              |               |
| Deposit Available in Transaction Currency | Deposit amount available should be displayed after exchange rate conversion, if applicable.                                                                                                                |               |
| Linkage Percentage%                       | Specify the value for linkage percentage.                                                                                                                                                                  |               |
| Linkage Amount (Transaction Currency):    | System to default the transaction amount user can change the value.<br><br>System validates the linking amount with available Deposit balance and should not allow to link more than the available amount. |               |

Below fields appear in the **Deposit Details** grid along with the above fields.

|                                                                                                    |                                                                                          |  |
|----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|--|
| Deposit Currency                                                                                   | The currency will get defaulted in this field.                                           |  |
| Transaction Currency                                                                               | The currency will get defaulted in this field from the underlying task.                  |  |
| Delete Icon<br> | Click minus icon to remove the existing Linked deposit details by selecting the Deposit. |  |
| Edit Link                                                                                          | Click edit link to edit any existing deposit Details.                                    |  |

### 3.3.8.2 Charge Details

This section displays charge details:

Charge Details

Recalculate Redefault

Commission Details

Event

Event Description

| Component           | Rate | Mod. Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settl. Acct | Amendable |
|---------------------|------|-----------|----------|--------|----------|-------|-------|--------------|-------------|-----------|
| No data to display. |      |           |          |        |          |       |       |              |             |           |

Page 1 (0 of 0 items) < 1 >

Charge Details

| Component           | Tag currency | Tag Amount | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|---------------------|--------------|------------|----------|--------|----------|---------|-------|-------|--------------|--------------------|
| No data to display. |              |            |          |        |          |         |       |       |              |                    |

Page 1 (0 of 0 items) < 1 >

Tax Details

| Component           | Type | Value Date | Ccy | Amount | Billing | Defer | Settl. Acct |
|---------------------|------|------------|-----|--------|---------|-------|-------------|
| No data to display. |      |            |     |        |         |       |             |

Save & Close Close

This section displays the commission details:

| Field             | Description                                                                                                                                                                                                                                                                                                                       | Sample Values |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Event             | Read only field.<br>This field displays the event name.                                                                                                                                                                                                                                                                           |               |
| Event Description | Read only field.<br>This field displays the description of the event.                                                                                                                                                                                                                                                             |               |
| Component         | This field displays the commission component.                                                                                                                                                                                                                                                                                     |               |
| Rate              | Defaults from product.<br><br>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.<br><br>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |               |
| Currency          | Defaults the currency in which the commission needs to be collected                                                                                                                                                                                                                                                               |               |

| Field              | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Sample Values |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Amount             | <p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p> |               |
| Modified Amount    | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Defer              | <p>If check box is selected, charges/commissions has to be deferred and collected at any future step.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p>                                                                                                                                                            |               |
| Waive              | <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>                                                                                                                                                                                                                                                                                                          |               |
| Charge Party       | Charge party will be 'Drawer' by Default. You can change the value to Drawee.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Settlement Account | Details of the Settlement Account.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Amendable          | Displays if the field is amendable or not.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |               |

### 3.3.8.3 Charge Details

Provide the charge details based on the following table:

| Field     | Description            | Sample Values |
|-----------|------------------------|---------------|
| Component | Charge Component type. |               |

| Field              | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Sample Values |
|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Tag Currency       | Defaults the tag currency in which the charges have to be collected.                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Tag Amount         | Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.                                                                                                                                                                                                                                                                                                                                                    |               |
| Currency           | Defaults the currency in which the charges have to be collected.                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Amount             | An amount that is maintained under the product code gets defaulted in this field.                                                                                                                                                                                                                                                                                                                                                                                                        |               |
| Modified Amount    | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.                                                                                                                                                                                                                                                                                                                                                            |               |
| Billing            | If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.                                                                                                                                                                                                                                                                                                                                      |               |
| Defer              | <p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFCM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p> |               |
| Waive              | If charges have to be waived, this check box has to be selected.                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Charge Party       | Charge party will be Drawer by default. You can change the value to Drawee.                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Settlement Account | Details of the settlement account.                                                                                                                                                                                                                                                                                                                                                                                                                                                       |               |

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

| Field     | Description            | Sample Values |
|-----------|------------------------|---------------|
| Component | Tax Component type     |               |
| Type      | Type of tax Component. |               |

| Field              | Description                                                                                                                                                                                                                                         | Sample Values |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Value Date         | This field displays the value date of tax component.                                                                                                                                                                                                |               |
| Currency           | The tax currency is the same as the commission.                                                                                                                                                                                                     |               |
| Amount             | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if applicable.                                                                                                                              |               |
| Billing            | If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.<br><br>This field is disabled, if 'Defer' toggle is enabled.                                      |               |
| Defer              | If taxes have to be deferred and collected at any future step, this option has to be enabled.<br><br>The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. |               |
| Settlement Account | Details of the settlement account.                                                                                                                                                                                                                  |               |

### 3.3.8.4 Payment Details

PaymentDetails

Auto Liquidate ☐ Allow Rollover ☐ Auto Change from Acceptance to Advance ☐ Liquidate using Collateral ☐

Outstanding Collateral Amount  Split Settlement ☒ Avalization ☐

Settlement Details - Liquidation

| Component     | Currency | Debit/Credit | Account       | Account Description | Branch | Account Currency | Original Exchange Rate | Exchange Rate | Deal Reference |
|---------------|----------|--------------|---------------|---------------------|--------|------------------|------------------------|---------------|----------------|
| ILL_LIQ_AMT   | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | PK2    | GBP              | 1                      |               |                |
| ILL_LIQ_AMTEQ | GBP      | Credit       | PK20010440017 | FIXNETIX            | PK1    | GBP              | 1                      |               |                |

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Split Settlement

| Component      | Contract Currency | Amount |
|----------------|-------------------|--------|
| BILL_LIQ_AMTEQ | GBP               | 100    |

Page 1 of 1 (1 of 1 items) < 1 >

Split Settlement Details

Fetch Exchange Rate

| Sequence | Amount | Settlement Account | Account Custom... | Account Currency | Account Branch | Original Exchange Rate | Exchange Rate | Deal Reference Number | Action |
|----------|--------|--------------------|-------------------|------------------|----------------|------------------------|---------------|-----------------------|--------|
| 1        |        | 1122334455         | 000335            | GBP              | 000            |                        |               |                       |        |

Page 1 of 1 (1 of 1 items) < 1 >

Save & Close Close

Provide payment details based on the description in the following table:

| Field                                  | Description                                                                                                                                                                                                                                             | Sample Values |
|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Auto Liquidate                         | <b>Toggle On:</b> Set the toggle 'On' if the auto liquidation is required.<br><b>Toggle Off:</b> Set the toggle 'Off' if the auto liquidation is not required.                                                                                          |               |
| Allow Roll over                        | <b>Toggle On:</b> Set the toggle 'On' to allow roll over.                                                                                                                                                                                               |               |
| Auto Change from Acceptance to Advance | This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.<br><br><b>Note</b><br><br>This option is applicable only for the bills that are co-accepted by the bank. |               |
| Liquidate using Collateral             | <b>Toggle On:</b> Set the toggle 'On' if liquidation is done using collateral.                                                                                                                                                                          |               |
| Outstanding Collateral Amount          | Read only field.<br>Outstanding Collateral Amount is automatically defaulted from the back office system.                                                                                                                                               |               |

| Field                            | Description                                                                                                                                                                                                                                                                                                                                                           | Sample Values |
|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Split Settlement                 | <p><b>Toggle On:</b> Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p> <p><b>Toggle Off:</b> Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p> | Disable       |
| Avalization                      | This flag indicates whether avalization is enabled or not..                                                                                                                                                                                                                                                                                                           |               |
| Settlement Details - Liquidation |                                                                                                                                                                                                                                                                                                                                                                       |               |
| Component                        | Components gets defaulted based on the product selected.                                                                                                                                                                                                                                                                                                              |               |
| Currency                         | Application displays the default currency for the component.                                                                                                                                                                                                                                                                                                          |               |
| Debit/Credit                     | Application displays the debit/credit indicators for the components.                                                                                                                                                                                                                                                                                                  |               |
| Account                          | Application Displays the account details for the components.                                                                                                                                                                                                                                                                                                          |               |
| Account Description              | Application displays the description of the selected account.                                                                                                                                                                                                                                                                                                         |               |
| Branch                           | Application displays the branch of the selected account.                                                                                                                                                                                                                                                                                                              |               |
| Account Currency                 | Application defaults the currency for all the items based on the account number.                                                                                                                                                                                                                                                                                      |               |
| Original Exchange Rate           | System displays the Original Exchange Rate as simulated in settlement details section from OBTF                                                                                                                                                                                                                                                                       |               |
| Exchange Rate                    | The exchange rate for the settlement.                                                                                                                                                                                                                                                                                                                                 |               |
| Deal Reference Number            | The exchange deal reference number.                                                                                                                                                                                                                                                                                                                                   |               |
| Split Settlement                 |                                                                                                                                                                                                                                                                                                                                                                       |               |
| Component                        | Components gets defaulted based on the product selected.                                                                                                                                                                                                                                                                                                              |               |
| Currency                         | Application displays the default currency for the component.                                                                                                                                                                                                                                                                                                          |               |
| Amount                           | Amount for each component. This is populated from the transaction details of the drawing.                                                                                                                                                                                                                                                                             |               |
| Split Settlement Details         |                                                                                                                                                                                                                                                                                                                                                                       |               |

| Field                  | Description                                                                                                                          | Sample Values |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Select                 | Check box to select the record                                                                                                       |               |
| Sequence               | Sequence of the settlement details.                                                                                                  |               |
| Amount                 | Amount for the split settlement.                                                                                                     |               |
| Settlement Currency    | Currency for the split settlement.                                                                                                   |               |
| Account Customer       | Customer account.                                                                                                                    |               |
| Account Currency       | Currency of the account.                                                                                                             |               |
| Account Branch         | Branch of the customer's account.                                                                                                    |               |
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF                                      |               |
| Exchange Rate          | The exchange rate for the split settlement.                                                                                          |               |
| Deal Reference Number  | The exchange deal reference number.                                                                                                  |               |
| Action                 | Click Edit icon to edit the split settlement details record.<br><br>Click Delete icon to delete the split settlement details record. |               |

### 3.3.8.5 **FX Linkage**

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average

method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

FX Linkage

FX Linkage

| FX Reference Number | Bought Currency | SOLD Currency | Available Contract Amount | Rate | Linked Amount | Total Utilized Amount | FX Expiry Date    | Action                            |
|---------------------|-----------------|---------------|---------------------------|------|---------------|-----------------------|-------------------|-----------------------------------|
| PK2FXF1211586018    | GBP             | USD           | E157,786.89               | 1.22 | E100.00       | E6,147.54             | December 31, 2022 | <div><div></div><div></div></div> |

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1

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>

Average FX Rate

Save & Close

Cancel

FX Linkage

FX Reference Number \*

032FXF2230890501

Contract Amount

AED AED 149,999,998.50

Linkage Amount \*

AED AED 27,000.00

FX Amount in Local Currency

GBP £149,999,998.50

FX Delivery Period From

Currency

AED

Available FX Contract Amount

AED AED 149,873,698.50

Rate

1.5

FX Expiry Date

Dec 30, 2025

FX Delivery Period To

Save & Close

Close

Provide the FX linkage detail based on the description in the following table:

| Field | Description | Sample Values |
|-------|-------------|---------------|
|-------|-------------|---------------|

Click + plus icon to add new FX linkage details.

Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.

| Field                        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Sample Values |
|------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| FX Reference Number          | <p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p> |               |
| Currency                     | This field displays the FX BOT currency from the linked FX contract.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Contract Amount              | <p>This field displays the FX BOT currency and Amount.</p> <p>The user can change the currency.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |
| Available FX Contract Amount | <p>This field displays the available FX contract amount.</p> <p>The value is from the “Available Amount” in FXDLINKG screen in OBTR.</p> <p>Available Amount BOT currency and Amount is displayed.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Linkage Amount               | <p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation “Sum of Linked amount will not be greater than contract amount” or “Linkage amount will not be greater than the available amount for linkage” should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>                                                                                                                                                                                |               |
| Rate                         | This field displays the exchange rate defaulted from the linked FX Contract.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |
| FX Amount in Local Currency  | <p>This field displays the FX amount in local currency.</p> <p>The value is defaulted as FX BOT currency and Amount from FXDTRONL</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| FX Expiry Date               | This field displays the expiry date from the linked FX contract.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |

| Field                                                                   | Description                                                                                                                                                                                                                                                                                                              | Sample Values |
|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| FX Delivery Period - From                                               | This field displays the date from which the contract is valid for utilization.                                                                                                                                                                                                                                           |               |
| FX Delivery Period - To                                                 | This field displays the date to which the contract is valid for utilization.                                                                                                                                                                                                                                             |               |
| Below fields appear in the FX linkage grid along with the above fields. |                                                                                                                                                                                                                                                                                                                          |               |
| Bought Currency                                                         | This field displays the currency from the linked FX contract.                                                                                                                                                                                                                                                            |               |
| Sold Currency                                                           | This field displays the currency from the linked FX contract.                                                                                                                                                                                                                                                            |               |
| Available Contract Amount                                               | Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.                                                                                                                                                                                           |               |
| Linked Amount                                                           | Sum of Linked amount will not be greater than LC contract amount.<br><br>Linked amount will not be greater than the available amount for linkage.                                                                                                                                                                        |               |
| Total Utilized amount                                                   | This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.<br><br>The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG                                |               |
| Average FX Rate                                                         | Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate. |               |
| Action                                                                  | Click the Edit icon to modify the FX details.<br><br>Click the Delete icon to delete the FX details.                                                                                                                                                                                                                     |               |

### 3.3.8.6 Tracers

This section enables the user to view the default Tracer details from back end application. It also allows the user to add new Tracer details. Add new Tracer details based on the description in the following table:

Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this

| Field                 | Description                                                                                                                                                                               | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Tracer Required       | Enable the option, if tracer is required or not. Toggle On: Required Toggle Off: Not required.                                                                                            |               |
| Number of Tracers     | Provide the number of tracers required.                                                                                                                                                   |               |
| Tracer Frequency      | <p>Select the frequency for generation of the Tracer.</p> <p>The options are:</p> <ul style="list-style-type: none"> <li>• Daily</li> <li>• Weekly</li> <li>• Fortnightly</li> </ul>      |               |
| Tracer Medium         | <p>Select the tracer medium from the LOV.</p> <p>The options are:</p> <ul style="list-style-type: none"> <li>• MAIL</li> <li>• EMAIL</li> <li>• SWIFT</li> </ul> <hr/> <p><b>Note</b></p> |               |
| Tracer Receiver Party | <p>Select the tracer receiver party from the LOV.</p> <hr/> <p><b>Note</b></p>                                                                                                            |               |
| Tracer Start Date     | <p>Select the tracer start date. Start date cannot be earlier than the branch date.</p> <hr/> <p><b>Note</b></p>                                                                          |               |
|                       |                                                                                                                                                                                           |               |

process.

Tracer Details

Payment Tracer

Tracer Required

Tracer Receiver Party

RAWEE

Number of Tracers

5

Tracer Start Date

Jan 1, 2021

Tracer Frequency

Fortnightly

Tracer Medium

MAIL

Acceptance Tracer

Tracer Required

Tracer Receiver Party

ACCEPTING BANK

Number of Tracers

Tracer Start Date

May 5, 2021

Tracer Frequency

Tracer Medium

SWIFT

Template ID

Save & Close

Close

### 3.3.8.7 Profit Details

The user can view and modify the Profit Details Simulated from Back Office system. On update of the Interest rate user has to click on Recalculate button. System will trigger a simulation call to the back office and the updated Profit details will be reflected in this section.

Profit Details

Recalculate

Redefault

| Component           | Component Description | Rate Type | Rate Code | Min Spread | Max Spread | Spread | Min Rate | Max Rate | Rate | Modified Rate | Special Pricing Reference Number | Currency | Amount |
|---------------------|-----------------------|-----------|-----------|------------|------------|--------|----------|----------|------|---------------|----------------------------------|----------|--------|
| No data to display. |                       |           |           |            |            |        |          |          |      |               |                                  |          |        |

Save & Close

Close

### 3.3.8.8

| Field                 | Description                                                                                                                                                                                                                | Sample Values |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Component             | This field displays the component maintained in the back office.                                                                                                                                                           |               |
| Component Description | This description of the component.                                                                                                                                                                                         |               |
| Rate Type             | System defaults the rate type maintained for the component in back office.<br>Value can be: <ul style="list-style-type: none"> <li>• Fixed Floating</li> <li>• Special</li> </ul>                                          |               |
| Rate Code             | This field displays the rate code applicable for the Component.                                                                                                                                                            |               |
| Min Spread            | This field displays the minimum spread applicable for the Rate Code.<br>This field have value only if the <b>Rate Type</b> is <b>Floating</b> .                                                                            |               |
| Max Spread            | This field displays the maximum spread applicable for the Rate Code.<br>This field have value only if the <b>Rate Type</b> is <b>Floating</b> .                                                                            |               |
| Spread                | This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value.<br>System validates whether the spread input is within the Minimum to Maximum Spread. |               |
| Min Rate              | This field displays the minimum rate applicable for the Rate Code.                                                                                                                                                         |               |
| Max Rate              | This field displays the maximum rate applicable for the Rate Code.                                                                                                                                                         |               |
| Rate                  | This field displays the value applicable for the Rate Code.<br>User can change the value if the <b>Rate Type</b> is <b>Fixed</b> . System validates whether the Rate input is between the Minimum and Maximum Rate.        |               |
| Modified Rate         | This field displays the modified rate.                                                                                                                                                                                     |               |

| Field                            | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Special Pricing Reference Number | <p>User can capture the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component).</p> <p>Special Pricing Reference is not applicable for Penal Interest components.</p> <p>For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same.</p> <hr/> <p style="text-align: center;"><b>Note</b></p> <p>System shows an override as “Special Pricing Applicable”, on clicking “Save” in the Interest Details screen, if Special Pricing Reference number has been provided.</p> |               |
| Currency                         | System defaults the Interest currency in this field.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |               |
| Amount                           | User can input the interest amount, in case the <b>Rate Type</b> is <b>Special</b> , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.                                                                                                                                                                                            |               |
| Waive                            | User can waive the Interest applicable. System displays an override, if the user has waived the Interest.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |
| Charge Party                     | <p>System displays the Charge Party based on the type of transaction.</p> <p>In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..</p>                                                                                                                                                                                                                                                                                                                |               |

| Field               | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Sample Values |
|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Settlement Account  | System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override.<br><br>In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM). |               |
| Settlement Currency | System defaults the currency of the Settlement Account.                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Settlement Branch   | System defaults the branch of the Settlement Account.                                                                                                                                                                                                                                                                                                                                                                                                                                                       |               |

### 3.3.8.9 Pre Shipment Details

This section links an existing Preshipment Credit.

e-Shipment Details

PreShipment Details

| Loan Account Number   | Currency | Outstanding Amount | Action |
|-----------------------|----------|--------------------|--------|
| No data to display.   |          |                    |        |
| Page 1 (0 of 0 items) |          |                    |        |

Settled Finance

| Sequence Number       | Loan Account Number | Currency | Outstanding Amount | Event | Settled Amount |
|-----------------------|---------------------|----------|--------------------|-------|----------------|
| No data to display.   |                     |          |                    |       |                |
| Page 1 (0 of 0 items) |                     |          |                    |       |                |

Save & Close
Close

Provide the Pre Shipment details based on the description in the following table:

| Field                                                                                                   | Description                                                                                                              | Sample Values |
|---------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|---------------|
|  Loan Account Number | Select the pre-shipment Loan Account number from the LOV.                                                                |               |
| Currency                                                                                                | The currency of the pre-shipment loan account number.                                                                    |               |
| Outstanding Amount                                                                                      | The pre-shipment credit outstanding amount.                                                                              |               |
| Action                                                                                                  | Click the Edit icon to modify the Pre Shipment details.<br><br>Click the Delete icon to delete the Pre Shipment details. |               |

Settled Finance

| Field                                                                                                 | Description                                                               | Sample Values |
|-------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------|
| Sequence Number                                                                                       | This field displays the sequence of the settled loan.                     |               |
|  Loan Account Number | This field displays the pre-shipment Loan Account number.                 |               |
| Currency                                                                                              | This field displays the currency of the pre-shipment loan account number. |               |
| Outstanding Amount                                                                                    | This field displays the pre-shipment credit outstanding amount.           |               |
| Event                                                                                                 | This field displays the event.                                            |               |
| Settled Amount                                                                                        | This field displays the settled amount.                                   |               |

### 3.3.8.10 Preview Message

User can preview the message simulated for correspondence with Remitting Bank.

Preview - SWIFT Message

Language

English

Message Status

Message Type

Repair Reason

Preview - Mail Advice

Language

English

Message Status

Advice Type

CLOSURE\_ADVICE

Repair Reason

Preview Message

Preview Message

DRAWER REFERENCE

: NONE

DRAWER COUNTRY

: UNITED ARAB EMIRATES

BILL AMOUNT

: AED 10,000.00

AMOUNT IN WORDS

: Ten Thousand

BILL CURRENCY

: UAE Dirham

TENOR OF BILL

: Sight

TENOR DAYS OF BILL

: SIGHT

BILL MATURITY DATE

: 14-AUG-23

CLOSURE REASON

: KTRBIL ATTOR

Save & Close

Close

### 3.3.8.11

| Field                   | Description                                                                                                                        | Sample Values |
|-------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Preview - SWIFT Message |                                                                                                                                    |               |
| Language                | Read only field.<br>English is set as default language for the preview.                                                            |               |
| Message type            | Select the message type from the drop down.<br>User can choose to see preview of different message like MT 700, MT 740 and MT 701. |               |
| Message Status          | Read only field.<br>Display the message status of draft message of liquidation details.                                            |               |
| Repair Reason           | Read only field.<br>Display the message repair reason of draft message of liquidation details.                                     |               |
| Preview Message         | Display a preview of the draft message.                                                                                            |               |
| Preview - Mail Device   |                                                                                                                                    |               |
| Language                | Read only field.<br>English is set as default language for the preview.                                                            |               |
| Advice Type             | Select the advice type.                                                                                                            |               |
| Message Status          | Read only field.<br>Display the message status of draft message of liquidation details.                                            |               |
| Repair Reason           | Read only field.<br>Display the message repair reason of draft message of liquidation details.                                     |               |
| Preview Message         | Display a preview of the advice.                                                                                                   |               |

### 3.3.8.12 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                       | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents             | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks               | <p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>                  |               |
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                                                                  |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.                                                                                                                                                                                                                                                                                                                                          |               |

| Field        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Save & Close | Save the details provided and holds the task in 'My Task' queue for further update.<br>This option will not submit the request.                                                                                                                                                                                                                                                                                                                               |               |
| Cancel       | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.                                                                                                                                                                                                                                                                                                                      |               |
| Hold         | The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.<br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                                                                                                               |               |
| Reject       | On click of Reject, user must select a Reject Reason from a list displayed by the system.<br>Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.<br>This reject reason will be available in the remarks window throughout the process. |               |
| Refer        | User must select a Refer Reason from the values displayed by the system.<br>Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                             |               |
| Next         | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                     |               |

### 3.3.9 Settlement Details

As part of DE user can verify and enter the basic additional details available in the Export Collection Booking. In case the request is received through online channel user will verify the details populated:

Islamic Export Documentary Collection Booking  
DataEnrichment :: Application No:- 000IEDB000026531

Settlement Details

☐ Current Event

| Component       | Currency | Debit/Credit | Account       | Account Description | Account Currency | Netting Indicator | Current Event | Original Exchange Rate | Exchange |
|-----------------|----------|--------------|---------------|---------------------|------------------|-------------------|---------------|------------------------|----------|
| AMT_PURCHASED   | USD      | Debit        | PK20010430013 | MARKS AND SPENC     | USD              | No                | No            |                        |          |
| AMT_PURCHASEDEQ | USD      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |          |
| BCCOUR_LIQD     | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |          |
| BCSWFT_LIQD     | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |          |
| BCTAX1_AMT      | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |          |
| BCTAX2_AMT      | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |          |
| BILL_LIQ_AMT    | USD      | Debit        | PK20010430013 | MARKS AND SPENC     | USD              | No                | No            |                        |          |
| BILL_LIQ_AMTEQ  | USD      | Credit       | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |          |
| CHG3_LIQD       | USD      | Debit        | PK20010430013 | MARKS AND SPENC     | USD              | No                | No            |                        |          |
| COLL_LIQ_AMT    | USD      | Debit        | PK20010430013 | MARKS AND SPENC     | USD              | No                | No            |                        |          |

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back

Provide the settlement details based on the description in the following table:

| Field               | Description                                                                                                                                                                                                                                         | Sample Values |
|---------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Current Event       | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. |               |
| Component           | Components gets defaulted based on the product selected.                                                                                                                                                                                            |               |
| Currency            | System displays the default currency for the component.                                                                                                                                                                                             |               |
| Debit/Credit        | System displays the debit/credit indicators for the components.                                                                                                                                                                                     |               |
| Account             | System displays the account details for the components.                                                                                                                                                                                             |               |
| Account Description | System displays the description of the selected account.                                                                                                                                                                                            |               |
| Account Currency    | System defaults the currency for all the items based on the account number.                                                                                                                                                                         |               |
| Netting Indicator   | System displays the applicable netting indicator.                                                                                                                                                                                                   |               |
| Current Event       | System displays the current event as Y or N.                                                                                                                                                                                                        |               |

| Field                  | Description                                                                                     | Sample Values |
|------------------------|-------------------------------------------------------------------------------------------------|---------------|
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF |               |
| Exchange Rate          | The exchange rate.                                                                              |               |
| Deal Reference Number  | The exchange deal reference number.                                                             |               |

### 3.3.9.1 **Action Buttons**

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Documents             | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks               | <p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>                  |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                 |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.                                                                                                                                                                                                                                                                                         |               |
| Save & Close          | <p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                          |               |
| Cancel                | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.                                                                                                                                                                                                                                                                                                                                            |               |
| Hold                  | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                          |               |
| Reject                | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |

| Field | Description                                                                                                                                                                                                                                                                                                  | Sample Values |
|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Refer | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> |               |
| Next  | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>                                                                             |               |

### 3.3.10 Summary

User can review the summary of details updated in Data Enrichment stage of Islamic Export Documentary Collection Booking request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

ORACLE

(DEFAULTTENITY)

FLEXCUBE UNIVERSAL BAN...

Aug 3, 2023

ZARTA

subham@gmail

mic Export Documentary Collection Booking

aEnrichment :: Application No:- 032IEDB000164318

Clarification Details

Documents

Remarks

Overrides

Customer Instruction

Signatures

Main Details

Document Details

Other Details

Shipment Details

Maturity Details

Additional Fields

Advices

Limits and Collaterals

Commission, Charges and taxes

Preview Messages

FX Linkage

Payment Details

Settlement Details

Parties Details

Compliance details

Profit Details

Accounting Details

Booking Date : 2023-08-03

Submission Mode : Desk

Remitter Reference :

Bill Amount : AED 100

Document 1 : UPLD\_DOC\_2

Value Date : 2023-08-03

Debit Value Date : 2023-08-03

Credit Value Date : 2023-08-03

Port of Loading : London

Port of Discharge : Abu Dhabi

Shipment Date : 2023-08-03

Carrier Name : ABC

Tenor Type : Sight

Tenor Basis :

Maturity Date :

Click here to view :

Additional fields :

Advice 1 :

Advice 2 :

Contribution Currency :

Amount to Earmark : null

Limit Status : Not Verified

Collateral Currency :

Collateral Contr. :

Collateral Status : Not Verified

Deposit Linkage CCY :

Deposit Linkage :

Charge :

Commission :

Tax :

Block Status : Not Initiated

Language : ENG

Preview Message : -

Reference Number :

Linkage Amount :

Contract Currency :

Advance by Loan :

Allow Rollover :

Liquidate using Collateral :

Component :

Account Number :

Currency :

Drawer : CIFIM/12

Drawee : ABU DHABI 1

KYC : Not Initiate...

Sanctions : Not Initiate...

AML : Not Initiate...

Component :

Amount :

Event :

Event :

AccountNumber :

Branch :

edit

Request Clarification

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Submit

## Tiles Displayed in Summary

- Main Details - User can view details about application details and Collection details.
- Document Details - User can view the document details.
- Other Details - User can view the other details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view the Commission, Charges & Taxes details.
- Preview message - User can view the preview message.
- FX Linkage - User can view the details of FX Linkage.
- Payment Details - User can view the payment details.
- Settlement Details - User can view settlement details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance Details - User can view the compliance details.

- Profit Details - User can view the profit details.
- Accounting Details - User can view the accounting entries generated by back office system.

---

#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

### 3.3.10.1 Action Buttons

Use action buttons based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Documents             | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks               | <p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>                                                                                                                                                                                                                                                                                           |               |
| Overrides             | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>                  |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Signatures            | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>                                                                                                                 |               |
|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.                                                                                                                                                                                                                                                                                         |               |
| Save & Close          | <p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                          |               |
| Cancel                | Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.                                                                                                                                                                                                                                                                                                                                            |               |
| Hold                  | <p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                          |               |
| Reject                | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                              | Sample Values |
|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Refer  | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                             |               |
| Submit | <p>Task will move to next logical stage of Export Documentary Collection Booking.</p> <p>If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p> |               |

## 3.4 Exceptions

The Export Collection Booking request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

### 3.4.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

### 3.4.1.1 Amount Block Exception

This section will display the amount block exception details.

### 3.4.1.2 Summary

ic Export Documentary Collection Booking  
imBlock Exception Approval : Application No- 0911ED0000080498

Summary

|                                                                                                                                                                                                                       |                                                                                 |                                                                                            |                                                                                                               |                                                                       |                                                                         |                                                          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------|
| <b>Main Details</b>                                                                                                                                                                                                   | <b>Document Details</b>                                                         | <b>Other Details</b>                                                                       | <b>Shipment Details</b>                                                                                       | <b>Maturity Details</b>                                               | <b>Additional Fields</b>                                                | <b>Advices</b>                                           |
| Booking Date : 2023-08-03<br>Submission Mode : Desk<br>Remitter Reference :<br>Bill Amount : AED 2000                                                                                                                 | Document 1 : PACKINGLIST<br>Document 2 : AIR<br>Document 3 : BOL                | Value Date : 2023-08-03<br>Debit Value Date : 2023-08-03<br>Credit Value Date : 2023-08-03 | Port of Loading : chemical<br>Port of Discharge : Jordan<br>Shipment Date : 2023-08-03<br>Carrier Name : name | Tenor Type : Sight<br>Tenor Start :<br>Maturity Date :                | Click here to view Additional Fields :                                  | Advice 1 : REMITTANCE_L...<br>Advice 2 : PAYMENT_MESS... |
| <b>Limits and Collaterals</b>                                                                                                                                                                                         | <b>Commission, Charges and taxes</b>                                            | <b>Preview Messages</b>                                                                    | <b>FX Linkage</b>                                                                                             | <b>Payment Details</b>                                                | <b>Settlement Details</b>                                               | <b>Parties Details</b>                                   |
| Contribution Currency :<br>Amount to Earmark :<br>Limit Status : Not Verified<br>Collateral Currency :<br>Collateral Cont: :<br>Collateral Status : Not Verified<br>Deposit Linkage CCY :<br>Deposit Linkage Amount : | Charge : AED 250.00<br>Commission :<br>Tax : AED 400.00<br>Book Status : Failed | Language : ENG<br>Preview Message : -                                                      | Reference Number :<br>Linkage Amount :<br>Contract Currency :                                                 | Advance By Loan :<br>Allow Rollover :<br>Liquidate using Collateral : | Component : IBTAX2_AMT<br>Account Number : 0912130011<br>Currency : AED | Drawer :<br>Drawee : Elitlatat : Agthia Group            |
| <b>Compliance details</b>                                                                                                                                                                                             | <b>Profile Details</b>                                                          |                                                                                            |                                                                                                               |                                                                       |                                                                         |                                                          |
| KYC : Not Verified<br>Sanctions : Verified<br>AML : Verified                                                                                                                                                          | Component :<br>Amount :<br>Event :                                              |                                                                                            |                                                                                                               |                                                                       |                                                                         |                                                          |

Report Refer Hold Approve Back

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and Collection details.
- Document Details - User can view the document details.
- Other Details - User can view the other details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields. Advices - User can view the details of advices.
- Limits and Collaterals - User can view and modify limits and collateral details.
- Commission, Charges & Taxes - User can view the commission, charges and taxes details.
- Preview Messages - User can view the preview message.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the details of FX Linkage.
- Settlement Details - User can view settlement details.

- Parties Details - User can view party details like applicant, collecting bank etc.
- Compliance Details - User can view the compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details - User can view the profit details.

### 3.4.1.3 Action Buttons

Use action buttons based on the description in the following table:

| Field   | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject  | <p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                      |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>                                                                                                  |               |
| Cancel  | <p>Cancel the Export Collection Booking Amount Block Exception check.</p>                                                                                                                                                                                                                                                                                                                                                                                                           |               |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>                                                                                                                                                                                                                                                                                                                                                          |               |
| Back    | <p>Task moves to previous logical step.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |

### 3.4.2 Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

#### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

#### 3.4.2.1 Summary

c Export Documentary Collection Booking  
Exceptional approval :: Application No- 091EDB000080498

Documents Remarks Overrides Customer Instructions

Summary

|                                                                                                                                                                                                                                                                        |                                                                                                                                                      |                                                                                                                    |                                                                                                                                                             |                                                                                                 |                                                                                                                           |                                                                                          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| <b>Main Details</b><br>Booking Date : 2023-08-03<br>Submission Mode : <b>Bank</b><br>Remitter Reference :<br>Bill Amount : <b>AED 2000</b>                                                                                                                             | <b>Document Details</b><br>Document 1 : <b>AML</b><br>Document 2 : <b>RCIL</b><br>Document 3 : <b>PACKINGLIST</b>                                    | <b>Other Details</b><br>Value Date : 2023-08-03<br>Debit Value Date : 2023-08-03<br>Credit Value Date : 2023-08-03 | <b>Shipment Details</b><br>Port of Loading : <b>Shemal</b><br>Port of Discharge : <b>London</b><br>Shipment Date : 2023-08-03<br>Carrier Name : <b>name</b> | <b>Maturity Details</b><br>Tenor Type : <b>Sight</b><br>Tenor Basis :<br>Maturity Date :        | <b>Additional Fields</b><br>Click here to view Additional Fields :                                                        | <b>Advices</b><br>Advice 1 : <b>REMITTANCE_L...</b><br>Advice 2 : <b>PAYMENT_MESS...</b> |
| <b>Limits and Collaterals</b><br>Contribution Currency :<br>Amount to Bankmark :<br>Limit Status : <b>Not Verified</b><br>Collateral Currency :<br>Collateral Contrb :<br>Collateral Status : <b>Not Verified</b><br>Deposit Linkage CCY :<br>Deposit Linkage Amount : | <b>Commission, Charges and Taxes</b><br>Charge : <b>AED 250.00</b><br>Commission :<br>Tax : <b>AED 400.00</b><br>Block Status : <b>Not Initiated</b> | <b>Preview Messages</b><br>Language : <b>ENG</b><br>Preview Message : -                                            | <b>FX Linkage</b><br>Reference Number :<br>Linkage Amount :<br>Contract Currency :                                                                          | <b>Payment Details</b><br>Advance by Loan :<br>Allow Rollover :<br>Liquidate using Collateral : | <b>Settlement Details</b><br>Component : <b>BITAX2_AMT</b><br>Account Number : <b>0912130011</b><br>Currency : <b>AED</b> | <b>Parties Details</b><br>Drawer : <b>Etsulalit</b><br>Drawee : <b>Agthia Group</b>      |
| <b>Compliance details</b><br>KYC : <b>Not Verified</b><br>Sanctions : <b>Not Initiate...</b><br>AML : <b>Not Initiate...</b>                                                                                                                                           | <b>Profit Details</b><br>Component :<br>Amount :<br>Event :                                                                                          |                                                                                                                    |                                                                                                                                                             |                                                                                                 |                                                                                                                           |                                                                                          |

Reject Refer Hold Approve Back

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Other Details - User can view the other details.
- Shipment Details - User can view shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view the commission, charges and taxes details.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.

- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details - User can view the profit details.

### 3.4.2.2 **Action Buttons**

Use action buttons based on the description in the following table:

| Field   | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject  | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                      |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>                                                                                                  |               |
| Cancel  | Cancel the Export Collection Booking KYC exception check.                                                                                                                                                                                                                                                                                                                                                                                                                           |               |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage.                                                                                                                                                                                                                                                                                                                                                                 |               |
| Back    | Task moves to previous logical step.                                                                                                                                                                                                                                                                                                                                                                                                                                                |               |

### 3.4.3 **Exception - Limit Check/Credit**

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

---

#### **Note**

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

#### **Approve**

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

#### **Refer**

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

#### **Reject**

The transaction due to non-availability of limits capturing reject reason.

#### 3.4.3.1 **Limit/Credit Check**

This section will display the amount block exception details.

#### 3.4.3.2 **Summary**

Tiles Displayed in Summary:

- Main Details - User can view details about application details and collection details.
- Document Details - User can view the document details.
- Other Details - User can view the other details.
- Shipment Details - User can view the shipment details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view the commission, charges and taxes details. Preview Messages - User can view the preview message.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the details of FX Linkage.
- Settlement Details - User can view settlement details.
- Parties Details - User can view party details like applicant, collecting bank etc.

- Compliance Details - User can view the compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.4.3.3 Profit Details - User can view the profit details. **Action Buttons**

Use action buttons based on the description in the following table:

| Field   | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject  | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                      |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>                                                                                                  |               |
| Cancel  | Cancel the Export Collection Booking Limit exception check.                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage.                                                                                                                                                                                                                                                                                                                                                                 |               |
| Back    | Task moves to previous logical step.                                                                                                                                                                                                                                                                                                                                                                                                                                                |               |

## 3.5 Multi Level Approval

User can view the summary of details updated in multilevel approval stage of Islamic Export Collection Booking.

## Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

User can see the summary tiles, the tiles should display a list of Important fields with values.

The user can also drill down from summary tiles into respective data segments where user can verify the details of all fields under the data segment.

### 3.5.1 Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Drawee Name
- Drawer Name
- Bill Currency
- Bill Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the FuTura Bank OBTFPM application interface. On the left is a navigation menu with options like Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, and Trade Finance. The main area is titled 'Free Tasks' and shows a table of tasks with columns for Action, Priority, and Application Number. A modal window titled 'Approval Rekey' is open in the center, containing tabs for Incoming Message, Documents, and Remarks. The modal has input fields for 'Applicant Party' (with value 000262) and 'Application Date' (with value Feb 1, 2019), each with a green checkmark icon. At the bottom of the modal are buttons for 'Proceed', 'Refer', and 'Cancel'. In the background, a table with columns 'Process Name', 'Stage', and 'Back Of' is visible, showing various processes like 'Import LC Liquidation' and 'Export LC Advising'.

## 3.5.2 Summary

imic Export Documentary Collection Booking

prval Task Level 1 : Application No: 091EDB000080498

Documents

Remarks

Overrides

Customer Instructions

Signatures

| Main Details                                                                                              | Document Details                                                                      | Other Details                                                                               | Shipment Details                                                                                                                     | Maturity Details                                                                               | Additional Fields                                       | Advices                                                                           | Limits and Collaterals                                                                                                                                                                                                                |
|-----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------------------------------------------------------|-----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Booking Date : 2023-08-03<br>Commission Mode : <b>Check</b><br>Issuer Reference :<br>LC Amount : AED 2000 | Document 1 : <b>BOL</b><br>Document 2 : <b>PACKINGLIST</b><br>Document 3 : <b>AIR</b> | Value Date : 2023-08-03<br>Credit Value Date : 2023-08-03<br>Credit Value Date : 2023-08-03 | Port of Loading : <b>chemical</b><br>Port of Discharge : <b>baselton</b><br>Shipment Date : 2023-08-03<br>Carrier Name : <b>name</b> | Tenor Type : <b>Sight</b><br>Tenor Basis :<br>Maturity Date :                                  | Click here to view :<br>Additional Fields :             | Advice 1 : <b>REMITTANCE_L...</b><br>Advice 2 : <b>PAYMENT_MESS...</b>            | Contribution Currency :<br>Amount to Bankable :<br>Limit Status : <b>Not Verified</b><br>Collateral Currency :<br>Collateral Contrs :<br>Collateral Status : <b>Not Verified</b><br>Deposit Linkage COY :<br>Deposit Linkage Amount : |
| Commission, Charges and taxes                                                                             | Preview Messages                                                                      | FX Linkage                                                                                  | Payment Details                                                                                                                      | Settlement Details                                                                             | Parties Details                                         | Compliance details                                                                | Accounting Details                                                                                                                                                                                                                    |
| Charge : AED 250.00<br>Commission :<br>SW : AED 400.00<br>Lock Status : <b>Failed</b>                     | Language : <b>ENG</b><br>Preview Message :                                            | Reference Number :<br>Linkage Amount :<br>Contract Currency :                               | Advance by Loan :<br>Allow Rollover :<br>Liquidate using Collateral :                                                                | Component : <b>RETAIX2_AMIT</b><br>Account Number : <b>0912130011</b><br>Currency : <b>AED</b> | Drawee : <b>Agthia Group</b><br>Drawer : <b>Etsalot</b> | KYC : <b>Not Verified</b><br>Sanctions : <b>Verified</b><br>AML : <b>Verified</b> | Event : <b>INET</b><br>Account Number : <b>412000001</b><br>Branch : <b>091</b>                                                                                                                                                       |
| Profit Details                                                                                            | Exception(Approval)                                                                   |                                                                                             |                                                                                                                                      |                                                                                                |                                                         |                                                                                   |                                                                                                                                                                                                                                       |
| Component :<br>Amount :<br>Rent :<br>Rent :                                                               | AmountBlockKYC : <b>EXCEPTION</b><br>PLEASE VISIT REMARKS FOR MORE DETAILS            |                                                                                             |                                                                                                                                      |                                                                                                |                                                         |                                                                                   |                                                                                                                                                                                                                                       |

Print

Cancel Update Back Print Cancel Print

### Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Maturity Details - User can view the maturity details.
- Document Details - User can view the document details.
- Other Details - User can view the other details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.
- Payment Details - User can view the payment details.
- Shipment Details - User can view the shipment details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view Commission, Charges & Taxes details.
- FX Linkage - User can view the details of FX Linkage.
- Settlement Details - User can view settlement details.
- Preview message - User can view the preview message.
- Compliance Details - User can view the compliance details.
- Accounting Details - User can view the accounting entries generated by back office system.

### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Profit Details - User can view the profit details.
- Exception(Approval) Details - User can view the exception(approval) details.

### 3.5.2.1 Action Buttons

Use action buttons based on the description in the following table:

| Field   | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject  | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>                                                                                                  |               |
| Cancel  | Cancel the approval.                                                                                                                                                                                                                                                                                                                                                                                                                                                          |               |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>                                                                                                                                                                   |               |

## 3.6 Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

### 3.6.1 **Summary**

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

### 3.6.2 **Action Buttons**

Use action buttons based on the description in the following table:

| Field          | Description                                                                                                                                   | Sample Values |
|----------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject Approve | On click of Reject Approve, the transaction is rejected.                                                                                      |               |
| Reject Decline | On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks. |               |
| Hold           | User can put the transaction on 'Hold'. Task will remain in Pending state.                                                                    |               |
| Cancel         | Cancel the Reject Approval.                                                                                                                   |               |

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